<u>PATENT</u>

Attorney Docket No.: 020375-033110US

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Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Nina L. McNeill

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Christopher R. McGee

Application No.:

Filed: Herewith

For: DISCOUNT-INSTRUMENT METHODS AND SYSTEMS

Examiner:

Art Unit:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. In accordance with 37 CFR. § 1.98(d), copies of the references which have been previously cited to or referenced can be found in U.S. Appl. No. 10/268,040, filed October 8, 2002 (Attorney Docket No. 020375-033100US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and that the

Christopher R. McGee

Application No.: Not yet assigned

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references be made of record therein and appear among the "references cited" on any patent to

issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no representation is being made that

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a search has been conducted or that this statement encompasses all the possible relevant

information, and no inference should be made that the information and references are cited are,

or are considered to be material to patentability because they are in this statement. No inference

should be made that the information and references cited are prior art merely because they are in

this statement.

Applicant believes that no fee is required for submission of this statement, since it

is being submitted prior to the mailing of the first Office Action. However, if a fee is required,

the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No.

20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted

Deposit Account.

Respectfully submitted,

Patrick M. Boucher Reg. No. 44,037

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PMB/nlm 60061091 v1

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO			Complete if Known		
			Application Number		
INFO	DRMATIC	N DISCLOSURE	Filing Date		
STATEMENT BY APPLICANT			First Named Inventor	Christopher R. McGee	
			Art Unit		
(use as many sheets as necessary)			Examiner Name		
Page	1	of	Attorney Docket Number	020375-033110US	

			U.S. PATENT D	OCUMENTS	
		Document Number			
Examiner	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-4,528,643	07-09-1985	Freeny Jr., Charles C.	
	AB	US-4,700,005	10-13-1987	Kashkashian Jr., Arsen	
	AC	US-4,884,212	11-28-1989	Stutsman, Richard	
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Examiner Signature	Date Consider	ed
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. Enter

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substit	Substitute for form 1449/PTO			Complete if Known		
				Application Number		
INF	ORMATIC	N DISC	LOSURE	Filing Date		
STA	STATEMENT BY APPLICANT			First Named Inventor	Christopher R. McGee	
				Art Unit		
	(use as many	sheets as ne	cessary)	Examiner Name		
Page	2	of		Attorney Docket Number	020375-033110US	

U.S. PATENT DOCUMENTS						
		Document Number				
Examiner	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	вн	US-6,510,983 B2	01-28-2003	Horowitz, et al.	-	
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				FOREIGN PA	TENT DOCUME	NTS		
Examiner	Cite	For	eign Patent Doo	cument		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
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Examiner Signature	Date Considered	

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(use as many sheets as necessary)			Examiner Name		
Page	3	of	Attorney Docket Number	020375-033110US	

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²				
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